STATE OF CALIFORNIA GAVIN NEWSOM, Governor

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298



April 25, 2019

Jeffrey T. Linam Vice President of Rates & Regulatory California-American Water Company 4701 Beloit Drive Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 53-S, filed on March 13, 2019, regarding 2018 GRC Rates and Tariff Implementations – Wastewater for the Dunnigan and Monterey WW Districts.

Enclosed are copies of the following revised tariff sheets for the utility's files:

| P.U.C. | |
|-----------|--|
| Sheet No. | Title of Sheet |
| 223-S | Schedule SA, Monterey Waste Water District Tariff Area |
| | Active Sewer Service |
| 224-S | Schedule SA, Monterey Waste Water District Tariff Area |
| | Active Sewer Service |
| 225-S | Schedule SP, Monterey Waste Water District Tariff Area |
| | Passive Sewer Service |
| 226-S | Schedule WW-LIRA (Continued) |
| | Monterey County Sewer Service |
| | Low Income Ratepayer Assistance Program |
| 227-S | Schedule WW-DU-1, Dunnigan District Sewer Tariff Area |
| | General Flat Rate Service |
| 228-S | Table of Contents, (Page 1) |
| Cancel | Sheet No. 213-S, Table of Contents, (Page 3) |
| Cancel | Sheet No. 219-S, Table of Contents, (Page 6) |
| | |

Please contact Bradley Leong at 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant Water & Sewer Advisory Branch Water Division

Enclosures

CALIFORNIA PUBLIC UTILITIES COMMISSION **DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please

Date Mailed to Service List: March 13, 2019

Protest Deadline (20th Day): April 2, 2019

Review Deadline (30th Day): April 12, 2019

Requested Effective Date: March 13, 2019

Rate Impact: \$See AL

See AL%

Utility Name: California American Water

 $\boxtimes 1$ $\square 2$ $\square 3$

- Wastewater

see the "Response or Protest" section in the advice letter for more information.

District: Districts

CPUC Utility #: U210W

Tier

Authorization D.18-12-021

Advice Letter #: 53-S

Dunnigan and Monterey WW

Description: 2018 GRC Rates and Tariff Implementations

☐ Compliance

| Utility Contact: | Kamilah Jones | Utility Contact: | Jonathan Morse |
|------------------|----------------------------|------------------|----------------------------|
| Phone: | 916-568-4232 | Phone: | 916-568-4237 |
| Email: | Kamilah.Jones@amwater.com | Email: | Jonathan.Morse@amwater.com |
| | | | |
| DWA Contact: | Tariff Unit | | |
| Phone: | (415) 703-1133 | | |
| Email: | Water.Division@cpuc.ca.gov | | |
| | | | |
| | DWA USI | ONLY | |
| <u>DATE</u> | <u>STAFF</u> | <u>co</u> | <u>MMENTS</u> |
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| Date: | | | |
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March 13, 2019

ADVICE LETTER NO. 53-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) submits this advice letter, including the following tariff sheet applicable to all of its wastewater customers.

| Title of Sheet | Canceling Sheet No. |
|---|--|
| Schedule SA | <u></u> |
| Monterey Waste Water District Tariff Area <u>ACTIVE SEWER SERVICE</u> | 214-S |
| Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE | 215-S |
| Schedule SP | |
| Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE | 216-S |
| Schedule WW-LIRA (Continued) | |
| Low Income Ratepayer Assistance Program | 217-S |
| Dunnigan District Sewer Tariff Area GENERAL FLAT RATE SERVICE | 218-S |
| (Page 3) | 213-S |
| TABLE OF CONTENTS | |
| (Page 6) | 219-S |
| TABLE OF CONTENTS | 000.0 |
| (Page 1) | 222-S |
| | Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Schedule SP Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE Schedule WW-LIRA (Continued) Monterey County Sewer Service Low Income Ratepayer Assistance Program Schedule WW-DU-1 Dunnigan District Sewer Tariff Area GENERAL FLAT RATE SERVICE TABLE OF CONTENTS (Page 3) TABLE OF CONTENTS (Page 6) |

Purpose:

The purpose of this advice letter filing is to make effective, but not implement, revised tariff schedules reflecting authorized rates for Test Year 2018 per Decision (D.)18-12-021. The revised tariffs are effective, but not implemented, for all California American Wastewater service territories. Per D.18-12-021 Test Year 2018 rates will be subsumed in California American Water's escalation filing for attrition year 2019.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraphs 42 and 43 of Decision (D.)18-12-021 dated December 13, 2018 which states:

- 42. California-American Water Company (Cal-Am) is directed to file escalation filings for attrition years 2019 and 2020 through appropriate Tier 1 advice letter filings in conformance with General Order 96-B and the advice letter procedures found in Section VII of Appendix A attached to Decision (D.) 07-05-062 for every district where there is a projected decrease in rates. Cal-Am may also file escalation filings for 2019 and 2020 pursuant to these procedures for every district where there is a projected increase in rates. D.07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, any escalation filing for attrition year 2019 shall instead be filed within 90 days from the effective date of this decision and shall be effective 45 days from the date of filing.
- 43. California-American Water Company is authorized to revise tariff schedules, and to concurrently cancel its present schedules for such service upon the effective date of its 2019 escalation filing. The revision of tariff schedules for authorized rates in 2018 shall be included and subsumed in California-American Water Company's escalation filing for attrition year 2019. The advice letter shall include all calculations and documentation necessary to support the requested rate changes for both authorized 2018 rates and their escalation for 2019.

This filing is for the authorized 2018 test year rates, which Cal-Am requests be made effective but not implemented. Cal-Am will then separately file escalation filings for attrition year 2019 for all districts which will subsume the 2018 test years rates herein.

Included with this submittal are 1) the recast of conservation surcharges for recovery within the remaining three-year GRC cycle per D.18-12-021 and 2) the transfer and recognition of approved memorandum and balancing account balances and ongoing surcharge collections in the Consolidated Expense Balancing Accounts (CEBA).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Request:

In compliance with Decision D.18-12-021 California American Water requests, approval of all submitted tariff revisions. Tariff revisions reflecting rate changes due to D.18-12-021 will be subsumed with the escalation filing for attrition year 2019. Tariff revisions reflecting non-rate related changes will be approved, and implemented, immediately upon filing.

Tier Designation:

This advice letter is submitted pursuant to General Order No. 96-B and D.18-12-021 and is designated as a Tier 1 filing.

Effective Date:

California American requests an effective date of March 13th, 2019.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- (1) The utility did not properly serve or give notice of the AL;
- (2) The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the AL contain material error or omissions;
- (4) The relief requested in the AL is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- (6) The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address:

Mailing Address:

Water.Division@cpuc.ca.gov

CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Cal-Am at:

¹ G.O. 96-B. General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

Email Address: Mailing Address:

Kamilah.Jones@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

legalteamca@amwater.com 555 Montgomery Street, Suite 816

San Francisco, CA 94111

Jonathan.Morse@amwater.com
4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact Kamilah Jones at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Jeffrey T. Linam

Jeffrey T. Linam Vice President of Rates & Regulatory

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³ G.O. 96-B, General Rule 7.4.3

655 W. Broadway, Suite 1410 San Diego, CA 92101

Revised Cancelling Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

223-S 214-S

(C)

(C)

(C)

Schedule SA Monterey Waste Water District Tariff Area **ACTIVE SEWER SERVICE**

Sheet 1

APPLICABILITY

Applicable to all Active Sewer Services.

TERRITORY

The systems at Las Palmas, Pasadera, Carmel Valley Ranch and Indian Springs

RATES

| Las Palmas: | Per Service Per Month | |
|---|-----------------------|-----|
| Service Charge Residential | \$119.90 | (I) |
| Service Charge for School (5 EDUs) | | Ĭ |
| Service Charge for Small Office Park (6 EDUs) | \$719.44 | |
| Service Charge for Golf Course (4 EDUs) | | |
| Pasadera: Service Charge Residential and Small Commercial | \$119.90 | (T) |
| Carmel Valley Ranch: Service Charge Residential | ¢110.00 | |
| Service Charge for Hotel (144 EDUs) | \$17,266.50 | |
| Indian Springs: | | |
| Service Charge for Residential and Small Commercial | \$119.90 | (I) |

SPECIAL CONDITIONS

FEES & SURCHARGES

- 1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
- 2. Per Advice Letter 53-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$1.17 over 12 months effective March 13, 2019.
- 3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance (C) Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) Advice 53-S J. T. LINAM Date Filed 03/13/2019 Decision **DIRECTOR** - Rates & Regulatory Effective 03/13/2019 Resolution

655 W. Broadway, Suite 1410 San Diego, CA 92101

Cancelling

Revised Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. 224-S 215-S

Schedule SA Monterey Waste Water District Tariff Area **ACTIVE SEWER SERVICE**

Sheet 2

APPLICABILITY

Applicable to the Society for the Prevention of Cruelty to Animals for Monterey County, Inc. ("SPCA") Sewer Service in the Pasadera area.

TERRITORY

The point of service connection between California American Water and SPCA in the Pasadera area.

RATES

Contracted Property: Service Charge per Month (I)

SPECIAL CONDITIONS

- 1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
- 2. Per Advice Letter 53-S, the over-collected balance in the Monterey Waste Water Consolidated (C) Expense Balancing Account will be recovered through a monthly connection surcredit of \$1.17 (C) over 12 months effective March 13, 2019.
- 3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program (C) ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) 03/13/2019 Advice 53-S J. T. LINAM Date Filed Decision **DIRECTOR** - Rates & Regulatory Effective 03/13/2019 Resolution

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410

San Diego, CA 92101

Revised Cancelling Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. 225-S 216-S

(C)

(C)

(C)

Schedule SP Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE

Sheet 3

APPLICABILITY

Applicable to all Passive Sewer Services.

TERRITORY

The systems at White Oaks, Spreckels, Village Green and Oak Hills

RATES

| Oak Hills: Per Service Per Mont | <u>h</u> |
|--|--------------|
| Service Charge for Residential and Small Commercial \$53.84 | (I) |
| | Ī |
| Spreckels: | |
| Service Charge for Residential and Small Commercial \$53.84 | |
| Service Charge for School \$80.74 | |
| Service Charge for Large Commercial (4 or more Employees) \$107.67 | |
| Service Charge for Industrial\$323.01 | |
| White Oaks: Per Service Per Montl | h |
| Service Charge for Residential | " |
| Corvide Charge for Recordential | |
| Village Green: | I |
| Service Charge for Residential\$53.84 | (1) |
| • | () |

SPECIAL CONDITIONS

FEES & SURCHARGES

- 1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
- 2. Per Advice Letter 53-S, the over-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcredit of 1.88 over 12 months effective March 13, 2019.
- 3. Per Advice Letter 47-S-A, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance (C) Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) 03/13/2019 Advice 53-S J. T. LINAM Date Filed 03/13/2019 Decision **DIRECTOR** - Rates & Regulatory Effective Resolution

655 W. Broadway, Suite 1410

San Diego, CA 92101

Revised Cancelling Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

Sheet 1

226-S 217-S

Schedule No. WW-LIRA (Continued) Monterey County Sewer Service LOW INCOME RATEPAYER ASSISTANCE PROGRAM

APPLICABILITY

Applicable to all Active and Passive Sewer Services.

TERRITORY

The systems of Las Palmas, Pasadera, Carmel Valley Ranch, Indian Springs, White Oaks, Spreckels, Village Greens, and Oak Hills.

RATES

Active Sewer Service

| | Las Palmas: Service Charge Residential | Per Service Per Month\$95.92 | (1) |
|--------|--|------------------------------|------------|
| | Pasadera: Service Charge Residential | \$95.92 | |
| | Carmel Valley Ranch: Service Charge Residential | \$95.92 | |
| | Indian Springs: Service Charge for Residential | \$95.92 | (I) |
| Passiv | ve Sewer Service | | |
| | Oak Hills: Service Charge for Residential | Per Service Per Month\$43.07 | (I) |
| | Spreckels: Service Charge for Residential | \$43.07 | |
| | White Oaks: Service Charge for Residential | \$43.07 | |
| | <u>Village Greens:</u> Service Charge for Residential | \$43.07 | (1) |

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) Advice 53-S J. T. LINAM Date Filed 03/13/2019 03/13/2019 Decision **DIRECTOR** - Rates & Regulatory Effective Resolution

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410 San Diego, CA 92101 Cancelling

Revised Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

227-S 218-S

(L)

Schedule WW-DU-1 Dunnigan District Sewer Tariff Area GENERAL FLAT RATE SERVICE

Sheet 1

APPLICABILITY

Applicable to all sewer service.

property owner.

TERRITORY

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

RATES Per Service Connection Per Month (I) For each Mobile Home residential unit \$33.26 For each Recreational Vehicle unit \$33.26 For Commercial Business Unit \$33.26 (I) **SPECIAL CONDITIONS General Items** (L) 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF. 2. A late charge will be imposed per Schedule CA-FEES. 3. Bills will be rendered in advance of the period for which service will be provided. 4. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 53-S

J. T. LINAM

Date Filed 03/13/2019

Decision

DIRECTOR - Rates & Regulatory

Resolution

Cancelling

Revised Revised

Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. 228-S 222-S

San Diego, CA 92101

655 W. Broadway, Suite 1410

TABLE OF CONTENTS

Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

| <u>s</u> | UBJECT MATTER OF SHEET | C.P.U.C. SHEET NO. | |
|-------------------|--|--------------------------------------|----------|
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| Schedule | No. SA – Active Sewer Service | 223-S, 224-S | (C) |
| Schedule | No. UF | 212-S | |
| Schedule | No. WW-LIRA | 226-S, 210-S, 171-S, 172-S, 199-S | (C) |
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| No. 20 | Limitation on Wastes Discharged into the Utility's | 47-S | |
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| | | | |

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|------------|
| Advice | 53-S | J. T. LINAM | Date Filed | 03/13/2019 |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | 03/13/2019 |
| | | | Resolution | |